

# SAT-IT: the Interactive SAT-Tracer

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Provide interactive tool for better **learning and understanding basic SAT-solving algorithms**

- Backtracking
- Davis-Putnam-Logemann-Loveland (DPLL)
- Conflict-Driven Clause Learning (CDCL)

Provide interactive tool for better **analysing encodings properties**

## Subject: **Declarative Programming, Applications**

- 4th year undergraduate students in computer science
- Previous knowledge: Complexity (NP-completeness), Prolog, data-structures and advanced programming, etc.
- 5 ECTS = 50 hours
- TWO parts
  - First part of functional programming (20h)
  - **Second part of combinatorial problem solving (30h)**

## Combinatorial problem solving

- Constraint Programming (MiniZinc) [20 hours]
- **SAT solving and modelling (ad-hoc SCALA API ) [10 hours]**
  - Backtracking, DPLL and CDCL
  - Cardinality constraints, PB constraints, ...

### Assignment:

- use of given algorithms for solving Formulas
- implement some cardinality constraints encodings
- model and solve: Binary Sudoku, Crowded chessboard, Minesweeper, etc...

A **Formula** is typically represented in **Conjunctive Normal Form (CNF)**

- **Variables:** e.g.  $x_1, x_2, \dots$
- **Literals** (variables and negated variables): e.g.  $x_1$  and  $\neg x_1$
- **Clauses** (disjunctions of literals):  $(x_1 \vee \neg x_2 \vee x_3)$
- **CNF** formulas (conjunctions of clauses):  
 $(x_1 \vee x_2) \wedge (x_1 \vee \neg x_2 \vee x_3) \wedge (\neg x_1 \vee x_2) \wedge (\neg x_1 \vee \neg x_2)$

An **interpretation** assigns truth values to variables, e.g.:

$x_1 \rightarrow \text{false}, x_2 \rightarrow \text{false}, x_3 \rightarrow \text{true}$ , represented as:  $\neg x_1 \neg x_2 x_3$

# Boolean Formulas

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An **interpretation** assigns truth values to variables, e.g.:

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- A **clause is satisfied by an interpretation** if some of its literals appears in the interpretation
- A **CNF is satisfied by an interpretation (model)** if all of its clauses are satisfied by the interpretation

$$\neg x_1 x_2 x_3 \models (x_1 \vee x_2) \wedge (x_1 \vee \neg x_2 \vee x_3) \wedge (\neg x_1 \vee x_2) \wedge (\neg x_1 \vee \neg x_2)$$

$$x_1 x_2 x_3 \not\models (x_1 \vee x_2) \wedge (x_1 \vee \neg x_2 \vee x_3) \wedge (\neg x_1 \vee x_2) \wedge (\neg x_1 \vee \neg x_2)$$

# SAT as “Machine Code” for Combinatorial Problem Solving

**SAT is the problem of determining the satisfiability of a Boolean Formula**, i.e. the existence of an interpretation that satisfies the formula.

- It is “**the**” **NP-Complete problem**
- It is a sort of “*Machine Code*” for Combinatorial Problem Solving

## SAT is an elemental CSP

- Set of variables:  $\{x_1, x_2, x_3\}$
- With Domains:  $dom(x_1) = dom(x_2) = dom(x_3) = \{false, true\}$
- Set of constraints = set of clauses:  
 $\{(x_1 \vee x_2), (x_1 \vee \neg x_2 \vee x_3), (\neg x_1 \vee x_2), (\neg x_1 \vee \neg x_2)\}$

# The Trail System

In our course we follow a symbolic representation of the evolution of the searching process borrowed and adapted from:

 Robert Nieuwenhuis, Albert Oliveras and Cesare Tinelli.

Solving SAT and SAT Modulo Theories: From an abstract Davis-Putnam Logemann Loveland procedure to DPLL(T).

In *Journal of the ACM*, 53(6): 937-977, 2006.

- The state is represented as a pair:

(**annotated**) partial assignment || (**possibly updated**) original formula

e.g.:  $x_1^1 x_2^k$  ||  $\{(x_1 \vee x_2), (x_1 \vee \neg x_2 \vee x_3), (\neg x_1 \vee x_2), (\neg x_1 \vee \neg x_2)\}$

- The **rules transform the state until a model is found or unsatisfiability has been proved** (there are NO decisions to change)
- **Aplicability of rules** is defined in terms of properties of the partial assignment and the formula



# Backtracking Rules

**Decision level:** counter on amount of decisions present in the current trail

---

DECIDE:

$$M \parallel F \quad \Longrightarrow \quad M \text{ } l^d \parallel F \quad \text{if} \quad \left\{ \begin{array}{l} l \text{ or } \neg l \text{ occurs in a clause of } F \\ l \text{ is undefined in } M \end{array} \right.$$

---

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---

BACKTRACK:

$$M \text{ } l^d N \parallel F \cup \{C_i\} \quad \Longrightarrow \quad M \neg l^k \parallel F \cup \{C_i\} \quad \text{if} \quad \begin{cases} M \text{ } l^d N \models \neg C_i \\ N \text{ contains no decision literals} \end{cases}$$

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---

FAIL:

$$M \parallel F \cup \{C_i\} \quad \Longrightarrow \quad \text{FailState} \quad \text{if} \quad \begin{cases} M \models \neg C_i \\ M \text{ contains no decision literals} \end{cases}$$

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# Backtracking Algorithm

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## Algorithm 1: BACKTRACKING algorithm

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**Input:**  $F = \{C_1, \dots, C_{|F|}\}$ , a set of clauses.

**Output:** If  $F$  is SAT:  $(SAT, M)$ , where  $M$  is a model of  $F$ .

Otherwise: *UNSAT*.

```
1  $M \leftarrow \{\}$ 
2 while True do
3   if  $CanApply(BACKTRACK, M, F)$  then  $(M, F) \leftarrow Backtrack(M, F)$  ;
4   else if  $CanApply(FAIL, M, F)$  then return UNSAT ;
5   else if  $M$  is a complete assignment then return  $(SAT, M)$  ;
6   else  $(M, F) \leftarrow Decide(M, F)$  ;
```

---

# Backtracking Example

We set an order in the decision of variables:

$$x_1 \prec x_2$$

$$C1 : (x_1 \vee x_2)$$

$$C2 : (x_1 \vee \neg x_2)$$

$$C3 : (\neg x_1 \vee x_2)$$

$$C4 : (\neg x_1 \vee \neg x_2)$$

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$$x_1^d$$

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$$x_1^d x_2^d$$

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$$x_1^d x_2^d$$

Fail C4, Backtrack



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$$C3 : (\neg x_1 \vee x_2)$$

$$C4 : (\neg x_1 \vee \neg x_2)$$

$$x_1^d x_2^d$$

$$x_1^d \neg x_2^k$$

Fail C4, Backtrack

# Backtracking Example

We set an order in the decision of variables:

$$x_1 \prec x_2$$

$$C1 : (x_1 \vee x_2)$$

$$x_1^d x_2^d$$

Fail C4, Backtrack

$$C2 : (x_1 \vee \neg x_2)$$

$$x_1^d \neg x_2^k$$

Fail C3, Backtrack

$$C3 : (\neg x_1 \vee x_2)$$

$$C4 : (\neg x_1 \vee \neg x_2)$$

# Backtracking Example

We set an order in the decision of variables:

$$x_1 \prec x_2$$

$$C1 : (x_1 \vee x_2)$$

$$x_1^d x_2^d$$

Fail C4, Backtrack

$$C2 : (x_1 \vee \neg x_2)$$

$$x_1^d \neg x_2^k$$

Fail C3, Backtrack

$$C3 : (\neg x_1 \vee x_2)$$

$$\neg x_1^k$$

$$C4 : (\neg x_1 \vee \neg x_2)$$

# Backtracking Example

We set an order in the decision of variables:

$$x_1 \prec x_2$$

$$C1 : (x_1 \vee x_2)$$

$$x_1^d x_2^d$$

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$$C2 : (x_1 \vee \neg x_2)$$

$$x_1^d \neg x_2^k$$

Fail C3, Backtrack

$$C3 : (\neg x_1 \vee x_2)$$

$$\neg x_1^k x_2^d$$

$$C4 : (\neg x_1 \vee \neg x_2)$$

# Backtracking Example

We set an order in the decision of variables:

$$x_1 \prec x_2$$

$$C1 : (x_1 \vee x_2)$$

$$x_1^d x_2^d$$

Fail C4, Backtrack

$$C2 : (x_1 \vee \neg x_2)$$

$$x_1^d \neg x_2^k$$

Fail C3, Backtrack

$$C3 : (\neg x_1 \vee x_2)$$

$$\neg x_1^k x_2^d$$

Fail C2, Backtrack

$$C4 : (\neg x_1 \vee \neg x_2)$$

# Backtracking Example

We set an order in the decision of variables:

$$x_1 \prec x_2$$

$$C1 : (x_1 \vee x_2)$$

$$x_1^d x_2^d$$

Fail C4, Backtrack

$$C2 : (x_1 \vee \neg x_2)$$

$$x_1^d \neg x_2^k$$

Fail C3, Backtrack

$$C3 : (\neg x_1 \vee x_2)$$

$$\neg x_1^k x_2^d$$

Fail C2, Backtrack

$$C4 : (\neg x_1 \vee \neg x_2)$$

$$\neg x_1^k \neg x_2^k$$

# Backtracking Example

We set an order in the decision of variables:

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$$C2 : (x_1 \vee \neg x_2)$$

$$C3 : (\neg x_1 \vee x_2)$$

$$C4 : (\neg x_1 \vee \neg x_2)$$

$$x_1^d x_2^d$$

$$x_1^d \neg x_2^k$$

$$\neg x_1^k x_2^d$$

$$\neg x_1^k \neg x_2^k$$

Fail C4, Backtrack

Fail C3, Backtrack

Fail C2, Backtrack

Fail C1, UNSAT

# Davis-Putnam-Logemann-Loveland (DPLL) Rules

DECIDE:

$$M \parallel F \quad \Longrightarrow \quad M \text{ } l^d \parallel F \quad \text{if} \quad \begin{cases} l \text{ or } \neg l \text{ occurs in a clause of } F \\ l \text{ is undefined in } M \end{cases}$$

BACKTRACK:

$$M \text{ } l^d N \parallel F \cup \{C_i\} \quad \Longrightarrow \quad M \neg l^k \parallel F \cup \{C_i\} \quad \text{if} \quad \begin{cases} M \text{ } l^d N \models \neg C_i \\ N \text{ contains no decision literals} \end{cases}$$

FAIL:

$$M \parallel F \cup \{C_i\} \quad \Longrightarrow \quad \text{FailState} \quad \text{if} \quad \begin{cases} M \models \neg C_i \\ M \text{ contains no decision literals} \end{cases}$$

UNITPROPAGATE :

$$M \parallel F \cup \{C_i\} \quad \Longrightarrow \quad M \text{ } l^i \parallel F \cup \{C_i\} \quad \text{if} \quad \begin{cases} C_i \text{ has the form } C' \vee l \\ M \models \neg C' \\ l \text{ is undefined in } M \end{cases}$$



---

## Algorithm 2: DPLL algorithm

---

**Input:**  $F = \{C_1, \dots, C_{|F|}\}$ , a set of clauses.

**Output:** If  $F$  is SAT:  $(SAT, M)$ , where  $M$  is a model of  $F$ .  
Otherwise: *UNSAT*.

```
1  $M \leftarrow \{\}$ 
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4   else if  $CanApply(FAIL, M, F)$  then return UNSAT ;
5   else if  $M$  is a complete assignment then return  $(SAT, M)$  ;
6   else if  $CanApply(UNITPROPAGATE, M, F)$  then
7      $(M, F) \leftarrow UnitPropagate(M, F)$  ;
   else  $(M, F) \leftarrow Decide(M, F)$  ;
```

---

# DPLL Example

Decisions' order:

$$x_1 \prec x_2 \prec x_3 \prec x_4 \prec x_5$$

$$C1 : (x_3 \vee x_4 \vee \neg x_1 \vee x_5)$$

$$C2 : (\neg x_3 \vee x_4 \vee x_5)$$

$$C3 : (x_3 \vee \neg x_4 \vee \neg x_1)$$

$$C4 : (x_1 \vee x_2)$$

$$C5 : (\neg x_1 \vee \neg x_5)$$

$$C6 : (\neg x_3 \vee \neg x_4 \vee x_5)$$

# DPLL Example

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$$C1 : (x_3 \vee x_4 \vee \neg x_1 \vee x_5)$$

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$x_1^d$

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$$C3 : (x_3 \vee \neg x_4 \vee \neg x_1)$$

$$C4 : (x_1 \vee x_2)$$

$$C5 : (\neg x_1 \vee \neg x_5)$$

$$C6 : (\neg x_3 \vee \neg x_4 \vee x_5)$$

$$x_1^d \neg x_5^5$$

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$$C4 : (x_1 \vee x_2)$$

$$C5 : (\neg x_1 \vee \neg x_5)$$

$$C6 : (\neg x_3 \vee \neg x_4 \vee x_5)$$

$$x_1^d \neg x_5^5 x_2^d$$

# DPLL Example

Decisions' order:

$$x_1 \prec x_2 \prec x_3 \prec x_4 \prec x_5$$

$$C1 : (x_3 \vee x_4 \vee \neg x_1 \vee x_5)$$

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Decisions' order:

$$x_1 \prec x_2 \prec x_3 \prec x_4 \prec x_5$$

$$C1 : (x_3 \vee x_4 \vee \neg x_1 \vee x_5)$$

$$x_1^d \neg x_5^5 x_2^d x_3^d x_4^2$$

Fail C6, Backtrack

$$C2 : (\neg x_3 \vee x_4 \vee x_5)$$

$$C3 : (x_3 \vee \neg x_4 \vee \neg x_1)$$

$$C4 : (x_1 \vee x_2)$$

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$$C6 : (\neg x_3 \vee \neg x_4 \vee x_5)$$



# DPLL Example

Decisions' order:

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$$C6 : (\neg x_3 \vee \neg x_4 \vee x_5)$$

$$x_1^d \neg x_5^5 x_2^d x_3^d x_4^2$$

$$x_1^d \neg x_5^5 x_2^d \neg x_3^k$$

Fail C6, Backtrack

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$$x_1 \prec x_2 \prec x_3 \prec x_4 \prec x_5$$

$$C1 : (x_3 \vee x_4 \vee \neg x_1 \vee x_5)$$

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$$C4 : (x_1 \vee x_2)$$

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$$x_1^d \neg x_5^5 x_2^d x_3^d x_4^2$$

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Decisions' order:

$$x_1 \prec x_2 \prec x_3 \prec x_4 \prec x_5$$

$$C1 : (x_3 \vee x_4 \vee \neg x_1 \vee x_5)$$

$$x_1^d \neg x_5^5 x_2^d x_3^d x_4^2$$

Fail C6, Backtrack

$$C2 : (\neg x_3 \vee x_4 \vee x_5)$$

$$x_1^d \neg x_5^5 x_2^d \neg x_3^k x_4^1$$

Fail C3, Backtrack

$$C3 : (x_3 \vee \neg x_4 \vee \neg x_1)$$

$$C4 : (x_1 \vee x_2)$$

$$C5 : (\neg x_1 \vee \neg x_5)$$

$$C6 : (\neg x_3 \vee \neg x_4 \vee x_5)$$

# DPLL Example

Decisions' order:

$$x_1 \prec x_2 \prec x_3 \prec x_4 \prec x_5$$

$$C1 : (x_3 \vee x_4 \vee \neg x_1 \vee x_5)$$

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$$x_1^d \neg x_5^5 x_2^d \neg x_3^k x_4^1$$

Fail C3, Backtrack

$$C3 : (x_3 \vee \neg x_4 \vee \neg x_1)$$

$$x_1^d \neg x_5^5 \neg x_2^k$$

$$C4 : (x_1 \vee x_2)$$

$$C5 : (\neg x_1 \vee \neg x_5)$$

$$C6 : (\neg x_3 \vee \neg x_4 \vee x_5)$$

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Fail C6, Backtrack

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Fail C3, Backtrack

$$C3 : (x_3 \vee \neg x_4 \vee \neg x_1)$$

$$x_1^d \neg x_5^5 \neg x_2^k x_3^d$$

$$C4 : (x_1 \vee x_2)$$

$$C5 : (\neg x_1 \vee \neg x_5)$$

$$C6 : (\neg x_3 \vee \neg x_4 \vee x_5)$$

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Fail C6, Backtrack

$$C2 : (\neg x_3 \vee x_4 \vee x_5)$$

$$x_1^d \neg x_5^5 x_2^d \neg x_3^k x_4^1$$

Fail C3, Backtrack

$$C3 : (x_3 \vee \neg x_4 \vee \neg x_1)$$

$$x_1^d \neg x_5^5 \neg x_2^k x_3^d x_4^2$$

$$C4 : (x_1 \vee x_2)$$

$$C5 : (\neg x_1 \vee \neg x_5)$$

$$C6 : (\neg x_3 \vee \neg x_4 \vee x_5)$$

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$$C1 : (x_3 \vee x_4 \vee \neg x_1 \vee x_5)$$

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$$C2 : (\neg x_3 \vee x_4 \vee x_5)$$

$$x_1^d \neg x_5^5 x_2^d \neg x_3^k x_4^1$$

Fail C3, Backtrack

$$C3 : (x_3 \vee \neg x_4 \vee \neg x_1)$$

$$x_1^d \neg x_5^5 \neg x_2^k x_3^d x_4^2$$

Fail C6, Backtrack

$$C4 : (x_1 \vee x_2)$$

$$C5 : (\neg x_1 \vee \neg x_5)$$

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$$C2 : (\neg x_3 \vee x_4 \vee x_5)$$

$$x_1^d \neg x_5^5 x_2^d \neg x_3^k x_4^1$$

Fail C3, Backtrack

$$C3 : (x_3 \vee \neg x_4 \vee \neg x_1)$$

$$x_1^d \neg x_5^5 \neg x_2^k x_3^d x_4^2$$

Fail C6, Backtrack

$$C4 : (x_1 \vee x_2)$$

$$x_1^d \neg x_5^5 \neg x_2^k \neg x_3^k$$

$$C5 : (\neg x_1 \vee \neg x_5)$$

$$C6 : (\neg x_3 \vee \neg x_4 \vee x_5)$$



# DPLL Example

Decisions' order:

$$x_1 \prec x_2 \prec x_3 \prec x_4 \prec x_5$$

$$C1 : (x_3 \vee x_4 \vee \neg x_1 \vee x_5)$$

$$x_1^d \neg x_5^5 x_2^d x_3^d x_4^2$$

Fail C6, Backtrack

$$C2 : (\neg x_3 \vee x_4 \vee x_5)$$

$$x_1^d \neg x_5^5 x_2^d \neg x_3^k x_4^1$$

Fail C3, Backtrack

$$C3 : (x_3 \vee \neg x_4 \vee \neg x_1)$$

$$x_1^d \neg x_5^5 \neg x_2^k x_3^d x_4^2$$

Fail C6, Backtrack

$$C4 : (x_1 \vee x_2)$$

$$x_1^d \neg x_5^5 \neg x_2^k \neg x_3^k x_4^1$$

$$C5 : (\neg x_1 \vee \neg x_5)$$

$$C6 : (\neg x_3 \vee \neg x_4 \vee x_5)$$

# DPLL Example

Decisions' order:

$$x_1 \prec x_2 \prec x_3 \prec x_4 \prec x_5$$

$$C1 : (x_3 \vee x_4 \vee \neg x_1 \vee x_5)$$

$$x_1^d \neg x_5^5 x_2^d x_3^d x_4^2$$

Fail C6, Backtrack

$$C2 : (\neg x_3 \vee x_4 \vee x_5)$$

$$x_1^d \neg x_5^5 x_2^d \neg x_3^k x_4^1$$

Fail C3, Backtrack

$$C3 : (x_3 \vee \neg x_4 \vee \neg x_1)$$

$$x_1^d \neg x_5^5 \neg x_2^k x_3^d x_4^2$$

Fail C6, Backtrack

$$C4 : (x_1 \vee x_2)$$

$$x_1^d \neg x_5^5 \neg x_2^k \neg x_3^k x_4^1$$

Fail C3, Backtrack

$$C5 : (\neg x_1 \vee \neg x_5)$$

$$C6 : (\neg x_3 \vee \neg x_4 \vee x_5)$$

# DPLL Example

Decisions' order:

$$x_1 \prec x_2 \prec x_3 \prec x_4 \prec x_5$$

$$C1 : (x_3 \vee x_4 \vee \neg x_1 \vee x_5)$$

$$C2 : (\neg x_3 \vee x_4 \vee x_5)$$

$$C3 : (x_3 \vee \neg x_4 \vee \neg x_1)$$

$$C4 : (\neg x_1 \vee x_2)$$

$$C5 : (\neg x_1 \vee \neg x_5)$$

$$C6 : (\neg x_3 \vee \neg x_4 \vee x_5)$$

$$x_1^d \neg x_5^5 x_2^d x_3^d x_4^2$$

$$x_1^d \neg x_5^5 x_2^d \neg x_3^k x_4^1$$

$$x_1^d \neg x_5^5 \neg x_2^k x_3^d x_4^2$$

$$x_1^d \neg x_5^5 \neg x_2^k \neg x_3^k x_4^1$$

$$\neg x_1^k$$

Fail C6, Backtrack

Fail C3, Backtrack

Fail C6, Backtrack

Fail C3, Backtrack

# DPLL Example

Decisions' order:

$$x_1 \prec x_2 \prec x_3 \prec x_4 \prec x_5$$

$$C1 : (x_3 \vee x_4 \vee \neg x_1 \vee x_5)$$

$$C2 : (\neg x_3 \vee x_4 \vee x_5)$$

$$C3 : (x_3 \vee \neg x_4 \vee \neg x_1)$$

$$C4 : (\neg x_1 \vee x_2)$$

$$C5 : (\neg x_1 \vee \neg x_5)$$

$$C6 : (\neg x_3 \vee \neg x_4 \vee x_5)$$

$$x_1^d \neg x_5^5 x_2^d x_3^d x_4^2$$

$$x_1^d \neg x_5^5 x_2^d \neg x_3^k x_4^1$$

$$x_1^d \neg x_5^5 \neg x_2^k x_3^d x_4^2$$

$$x_1^d \neg x_5^5 \neg x_2^k \neg x_3^k x_4^1$$

$$\neg x_1^k x_2^4$$

Fail C6, Backtrack

Fail C3, Backtrack

Fail C6, Backtrack

Fail C3, Backtrack

# DPLL Example

Decisions' order:

$$x_1 \prec x_2 \prec x_3 \prec x_4 \prec x_5$$

$$C1 : (x_3 \vee x_4 \vee \neg x_1 \vee x_5)$$

$$C2 : (\neg x_3 \vee x_4 \vee x_5)$$

$$C3 : (x_3 \vee \neg x_4 \vee \neg x_1)$$

$$C4 : (x_1 \vee x_2)$$

$$C5 : (\neg x_1 \vee \neg x_5)$$

$$C6 : (\neg x_3 \vee \neg x_4 \vee x_5)$$

$$x_1^d \neg x_5^5 x_2^d x_3^d x_4^2$$

$$x_1^d \neg x_5^5 x_2^d \neg x_3^k x_4^1$$

$$x_1^d \neg x_5^5 \neg x_2^k x_3^d x_4^2$$

$$x_1^d \neg x_5^5 \neg x_2^k \neg x_3^k x_4^1$$

$$\neg x_1^k x_2^4 x_3^d$$

Fail C6, Backtrack

Fail C3, Backtrack

Fail C6, Backtrack

Fail C3, Backtrack

# DPLL Example

Decisions' order:

$$x_1 \prec x_2 \prec x_3 \prec x_4 \prec x_5$$

$$C1 : (x_3 \vee x_4 \vee \neg x_1 \vee x_5)$$

$$C2 : (\neg x_3 \vee x_4 \vee x_5)$$

$$C3 : (x_3 \vee \neg x_4 \vee \neg x_1)$$

$$C4 : (x_1 \vee x_2)$$

$$C5 : (\neg x_1 \vee \neg x_5)$$

$$C6 : (\neg x_3 \vee \neg x_4 \vee x_5)$$

$$x_1^d \neg x_5^5 x_2^d x_3^d x_4^2$$

$$x_1^d \neg x_5^5 x_2^d \neg x_3^k x_4^1$$

$$x_1^d \neg x_5^5 \neg x_2^k x_3^d x_4^2$$

$$x_1^d \neg x_5^5 \neg x_2^k \neg x_3^k x_4^1$$

$$\neg x_1^k x_2^4 x_3^d x_4^d$$

Fail C6, Backtrack

Fail C3, Backtrack

Fail C6, Backtrack

Fail C3, Backtrack

# DPLL Example

Decisions' order:

$$x_1 \prec x_2 \prec x_3 \prec x_4 \prec x_5$$

$$C1 : (x_3 \vee x_4 \vee \neg x_1 \vee x_5)$$

$$C2 : (\neg x_3 \vee x_4 \vee x_5)$$

$$C3 : (x_3 \vee \neg x_4 \vee \neg x_1)$$

$$C4 : (x_1 \vee x_2)$$

$$C5 : (\neg x_1 \vee \neg x_5)$$

$$C6 : (\neg x_3 \vee \neg x_4 \vee x_5)$$

$$x_1^d \neg x_5^5 x_2^d x_3^d x_4^2$$

$$x_1^d \neg x_5^5 x_2^d \neg x_3^k x_4^1$$

$$x_1^d \neg x_5^5 \neg x_2^k x_3^d x_4^2$$

$$x_1^d \neg x_5^5 \neg x_2^k \neg x_3^k x_4^1$$

$$\neg x_1^k x_2^4 x_3^d x_4^d x_5^6$$

Fail C6, Backtrack

Fail C3, Backtrack

Fail C6, Backtrack

Fail C3, Backtrack

# DPLL Example

Decisions' order:

$$x_1 \prec x_2 \prec x_3 \prec x_4 \prec x_5$$

$$C1 : (x_3 \vee x_4 \vee \neg x_1 \vee x_5)$$

$$C2 : (\neg x_3 \vee x_4 \vee x_5)$$

$$C3 : (x_3 \vee \neg x_4 \vee \neg x_1)$$

$$C4 : (x_1 \vee x_2)$$

$$C5 : (\neg x_1 \vee \neg x_5)$$

$$C6 : (\neg x_3 \vee \neg x_4 \vee x_5)$$

$$x_1^d \neg x_5^5 x_2^d x_3^d x_4^2$$

$$x_1^d \neg x_5^5 x_2^d \neg x_3^k x_4^1$$

$$x_1^d \neg x_5^5 \neg x_2^k x_3^d x_4^2$$

$$x_1^d \neg x_5^5 \neg x_2^k \neg x_3^k x_4^1$$

$$\neg x_1^k x_2^4 x_3^d x_4^d x_5^6$$

Fail C6, Backtrack

Fail C3, Backtrack

Fail C6, Backtrack

Fail C3, Backtrack

SAT



# DPLL Example

Decisions' order:

$$x_1 \prec x_2 \prec x_3 \prec x_4 \prec x_5$$

$$C1 : (x_3 \vee x_4 \vee \neg x_1 \vee x_5)$$

$$x_1^d \neg x_5^5 x_2^d x_3^d x_4^2$$

Fail C6, Backtrack

$$C2 : (\neg x_3 \vee x_4 \vee x_5)$$

$$x_1^d \neg x_5^5 x_2^d \neg x_3^k x_4^1$$

Fail C3, Backtrack

$$C3 : (x_3 \vee \neg x_4 \vee \neg x_1)$$

$$x_1^d \neg x_5^5 \neg x_2^k x_3^d x_4^2$$

Fail C6, Backtrack

$$C4 : (x_1 \vee x_2)$$

$$x_1^d \neg x_5^5 \neg x_2^k \neg x_3^k x_4^1$$

Fail C3, Backtrack

$$C5 : (\neg x_1 \vee \neg x_5)$$

$$\neg x_1^k x_2^4 x_3^d x_4^d x_5^6$$

SAT

$$C6 : (\neg x_3 \vee \neg x_4 \vee x_5)$$

The obtained model is  $\neg x_1 x_2 x_3 x_4 x_5$



# Conflict-Driven Clause Learning (CDCL) Rules

DECIDE:

$$M \parallel F \quad \Longrightarrow \quad M \text{ } l^d \parallel F \quad \text{if} \quad \begin{cases} l \text{ or } \neg l \text{ occurs in a clause of } F \\ l \text{ is undefined in } M \end{cases}$$

BACKJUMP:

$$M \text{ } l^d N \parallel F \cup \{C_i\} \quad \Longrightarrow \quad M \text{ } l^j \parallel F \cup \{C_i\} \quad \text{if} \quad \begin{cases} M \text{ } l^d N \models \neg C_i, \text{ and} \\ \text{there is some clause } C_j \text{ such that:} \\ C_j \text{ has the form } C' \vee l', \\ F \cup \{C_i\} \models C_j \text{ and } M \models \neg C', \\ l' \text{ is undefined in } M, \text{ and} \\ l' \text{ or } \neg l' \text{ occurs in } F \text{ or in } M \text{ } l^d N \end{cases}$$

FAIL:

$$M \parallel F \cup \{C_i\} \quad \Longrightarrow \quad \text{FailState} \quad \text{if} \quad \begin{cases} M \models \neg C_i \\ M \text{ contains no decision literals} \end{cases}$$

UNITPROPAGATE :

$$M \parallel F \cup \{C_i\} \quad \Longrightarrow \quad M \text{ } l^i \parallel F \cup \{C_i\} \quad \text{if} \quad \begin{cases} C_i \text{ has the form } C' \vee l \\ M \models \neg C' \\ l \text{ is undefined in } M \end{cases}$$

LEARN:

$$M \parallel F \quad \Longrightarrow \quad M \parallel F \cup \{C_j\} \quad \text{if} \quad \begin{cases} \text{each literal of } C_j \text{ occurs in } F \text{ or in } M \\ F \models C_j \end{cases}$$

---

## Algorithm 3: CDCL algorithm

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**Input:**  $F = \{C_1, \dots, C_{|F|}\}$ , a set of clauses.

**Output:** If  $F$  is SAT:  $(SAT, M)$ , where  $M$  is a model of  $F$ .  
Otherwise: *UNSAT*.

```
1  $M \leftarrow \{\}$ 
2 while True do
3     if CanApply(BACKJUMP,  $M, F$ ) then
4          $C_j \leftarrow$  ConflictAnalysis( $M, F$ )
5          $(M, F) \leftarrow$  Backjump( $M, F, C_j$ )
6          $(M, F) \leftarrow$  Learn( $M, F, C_j$ )
7     else if CanApply(FAIL,  $M, F$ ) then return UNSAT ;
8     else if  $M$  is a complete assignment then return  $(SAT, M)$  ;
9     else if CanApply(UNITPROPAGATE,  $M, F$ ) then
10         $(M, F) \leftarrow$  UnitPropagate( $M, F$ ) ;
        else  $(M, F) \leftarrow$  Decide( $M, F$ ) ;
```

---

# CDCL Example

Unsatisfiable formula solved with CDCL and the following order for decisions

$$x_1 \prec x_2 \prec x_3 \prec x_4 \prec x_5$$

$$C1 : (x_3 \vee x_4 \vee \neg x_1 \vee x_5)$$

$$C2 : (\neg x_3 \vee x_4 \vee x_5)$$

$$C3 : (x_3 \vee \neg x_4 \vee \neg x_1)$$

$$C4 : (x_1 \vee x_2)$$

$$C5 : (x_1 \vee \neg x_2)$$

$$C6 : (\neg x_1 \vee \neg x_5)$$

$$C7 : (\neg x_3 \vee \neg x_4 \vee x_5)$$

# CDCL Example

Unsatisfiable formula solved with CDCL and the following order for decisions

$$x_1 \prec x_2 \prec x_3 \prec x_4 \prec x_5$$

$$C1 : (x_3 \vee x_4 \vee \neg x_1 \vee x_5) \quad x_1^d$$

$$C2 : (\neg x_3 \vee x_4 \vee x_5)$$

$$C3 : (x_3 \vee \neg x_4 \vee \neg x_1)$$

$$C4 : (x_1 \vee x_2)$$

$$C5 : (x_1 \vee \neg x_2)$$

$$C6 : (\neg x_1 \vee \neg x_5)$$

$$C7 : (\neg x_3 \vee \neg x_4 \vee x_5)$$

# CDCL Example

Unsatisfiable formula solved with CDCL and the following order for decisions

$$x_1 \prec x_2 \prec x_3 \prec x_4 \prec x_5$$

$$C1 : (x_3 \vee x_4 \vee \neg x_1 \vee x_5) \quad x_1^d \neg x_5^6$$

$$C2 : (\neg x_3 \vee x_4 \vee x_5)$$

$$C3 : (x_3 \vee \neg x_4 \vee \neg x_1)$$

$$C4 : (x_1 \vee x_2)$$

$$C5 : (x_1 \vee \neg x_2)$$

$$C6 : (\neg x_1 \vee \neg x_5)$$

$$C7 : (\neg x_3 \vee \neg x_4 \vee x_5)$$

# CDCL Example

Unsatisfiable formula solved with CDCL and the following order for decisions

$$x_1 \prec x_2 \prec x_3 \prec x_4 \prec x_5$$

$$C1 : (x_3 \vee x_4 \vee \neg x_1 \vee x_5) \quad x_1^d \neg x_5^6 x_2^d$$

$$C2 : (\neg x_3 \vee x_4 \vee x_5)$$

$$C3 : (x_3 \vee \neg x_4 \vee \neg x_1)$$

$$C4 : (x_1 \vee x_2)$$

$$C5 : (x_1 \vee \neg x_2)$$

$$C6 : (\neg x_1 \vee \neg x_5)$$

$$C7 : (\neg x_3 \vee \neg x_4 \vee x_5)$$



# CDCL Example

Unsatisfiable formula solved with CDCL and the following order for decisions

$$x_1 \prec x_2 \prec x_3 \prec x_4 \prec x_5$$

$$C1 : (x_3 \vee x_4 \vee \neg x_1 \vee x_5) \quad x_1^d \neg x_5^d x_2^d x_3^d$$

$$C2 : (\neg x_3 \vee x_4 \vee x_5)$$

$$C3 : (x_3 \vee \neg x_4 \vee \neg x_1)$$

$$C4 : (x_1 \vee x_2)$$

$$C5 : (x_1 \vee \neg x_2)$$

$$C6 : (\neg x_1 \vee \neg x_5)$$

$$C7 : (\neg x_3 \vee \neg x_4 \vee x_5)$$

# CDCL Example

Unsatisfiable formula solved with CDCL and the following order for decisions

$$x_1 \prec x_2 \prec x_3 \prec x_4 \prec x_5$$

$$C1 : (x_3 \vee x_4 \vee \neg x_1 \vee x_5) \quad x_1^d \neg x_5^6 x_2^d x_3^d x_4^2$$

$$C2 : (\neg x_3 \vee x_4 \vee x_5)$$

$$C3 : (x_3 \vee \neg x_4 \vee \neg x_1)$$

$$C4 : (x_1 \vee x_2)$$

$$C5 : (x_1 \vee \neg x_2)$$

$$C6 : (\neg x_1 \vee \neg x_5)$$

$$C7 : (\neg x_3 \vee \neg x_4 \vee x_5)$$

# CDCL Example

Unsatisfiable formula solved with CDCL and the following order for decisions

$$x_1 \prec x_2 \prec x_3 \prec x_4 \prec x_5$$

$$C1 : (x_3 \vee x_4 \vee \neg x_1 \vee x_5) \quad x_1^d \neg x_5^6 x_2^d x_3^d x_4^2 \quad \text{Fail } C7$$

$$C2 : (\neg x_3 \vee x_4 \vee x_5)$$

$$C3 : (x_3 \vee \neg x_4 \vee \neg x_1)$$

$$C4 : (x_1 \vee x_2)$$

$$C5 : (x_1 \vee \neg x_2)$$

$$C6 : (\neg x_1 \vee \neg x_5)$$

$$C7 : (\neg x_3 \vee \neg x_4 \vee x_5)$$

Conflict analysis:  
(in blue, lits. of current decision level)

$$(\neg x_3 \vee \neg x_4 \vee x_5)$$

# CDCL Example

Unsatisfiable formula solved with CDCL and the following order for decisions

$$x_1 \prec x_2 \prec x_3 \prec x_4 \prec x_5$$

$$C1 : (x_3 \vee x_4 \vee \neg x_1 \vee x_5) \quad x_1^d \neg x_5^6 x_2^d x_3^d x_4^2 \quad \text{Fail } C7$$

$$C2 : (\neg x_3 \vee x_4 \vee x_5)$$

$$C3 : (x_3 \vee \neg x_4 \vee \neg x_1)$$

$$C4 : (x_1 \vee x_2)$$

$$C5 : (x_1 \vee \neg x_2)$$

$$C6 : (\neg x_1 \vee \neg x_5)$$

$$C7 : (\neg x_3 \vee \neg x_4 \vee x_5)$$

Conflict analysis:  
(in blue, lits. of current decision level)

$$\frac{(\neg x_3 \vee \neg x_4 \vee x_5) \quad (\neg x_3 \vee x_4 \vee x_5)}{(\neg x_3 \vee x_5)}$$

# CDCL Example

Unsatisfiable formula solved with CDCL and the following order for decisions

$$x_1 \prec x_2 \prec x_3 \prec x_4 \prec x_5$$

C1 :  $(x_3 \vee x_4 \vee \neg x_1 \vee x_5)$       $x_1^d \neg x_5^6 x_2^d x_3^d x_4^2$      Fail C7 , Learn C8, Backjump

C2 :  $(\neg x_3 \vee x_4 \vee x_5)$       $x_1^d \neg x_5^6 \neg x_3^8$

C3 :  $(x_3 \vee \neg x_4 \vee \neg x_1)$

C4 :  $(x_1 \vee x_2)$

C5 :  $(x_1 \vee \neg x_2)$

C6 :  $(\neg x_1 \vee \neg x_5)$

C7 :  $(\neg x_3 \vee \neg x_4 \vee x_5)$

C8 :  $(\neg x_3 \vee x_5)$

Conflict analysis:

(in blue, lits. of current decision level)

$$\frac{(\neg x_3 \vee \neg x_4 \vee x_5) \quad (\neg x_3 \vee x_4 \vee x_5)}{(\neg x_3 \vee x_5)}$$

# CDCL Example

Unsatisfiable formula solved with CDCL and the following order for decisions

$$x_1 \prec x_2 \prec x_3 \prec x_4 \prec x_5$$

$C1 : (x_3 \vee x_4 \vee \neg x_1 \vee x_5)$	$x_1^d \neg x_5^6 x_2^d x_3^d x_4^2$	Fail C7 , Learn C8, Backjump
$C2 : (\neg x_3 \vee x_4 \vee x_5)$	$x_1^d \neg x_5^6 \neg x_3^8 x_4^1$	
$C3 : (x_3 \vee \neg x_4 \vee \neg x_1)$		
$C4 : (x_1 \vee x_2)$		
$C5 : (x_1 \vee \neg x_2)$		
$C6 : (\neg x_1 \vee \neg x_5)$		
$C7 : (\neg x_3 \vee \neg x_4 \vee x_5)$		
$C8 : (\neg x_3 \vee x_5)$		

# CDCL Example

Unsatisfiable formula solved with CDCL and the following order for decisions

$$x_1 \prec x_2 \prec x_3 \prec x_4 \prec x_5$$

$C1 : (x_3 \vee x_4 \vee \neg x_1 \vee x_5)$	$x_1^d \neg x_5^6 x_2^d x_3^d x_4^2$	Fail C7 , Learn C8, Backjump
$C2 : (\neg x_3 \vee x_4 \vee x_5)$	$x_1^d \neg x_5^6 \neg x_3^8 x_4^1$	Fail C3
$C3 : (x_3 \vee \neg x_4 \vee \neg x_1)$		
$C4 : (x_1 \vee x_2)$		
$C5 : (x_1 \vee \neg x_2)$		
$C6 : (\neg x_1 \vee \neg x_5)$		
$C7 : (\neg x_3 \vee \neg x_4 \vee x_5)$		
$C8 : (\neg x_3 \vee x_5)$		

Conflict analysis:  
(in blue, lits. of current decision level)

$$(x_3 \vee \neg x_4 \vee \neg x_1)$$

# CDCL Example

Unsatisfiable formula solved with CDCL and the following order for decisions

$$x_1 \prec x_2 \prec x_3 \prec x_4 \prec x_5$$

$$C1 : (x_3 \vee x_4 \vee \neg x_1 \vee x_5) \quad x_1^d \neg x_5^6 x_2^d x_3^d x_4^2 \quad \text{Fail } C7, \text{ Learn } C8, \text{ Backjump}$$

$$C2 : (\neg x_3 \vee x_4 \vee x_5) \quad x_1^d \neg x_5^6 \neg x_3^8 x_4^1 \quad \text{Fail } C3$$

$$C3 : (x_3 \vee \neg x_4 \vee \neg x_1)$$

$$C4 : (x_1 \vee x_2)$$

$$C5 : (x_1 \vee \neg x_2)$$

$$C6 : (\neg x_1 \vee \neg x_5)$$

$$C7 : (\neg x_3 \vee \neg x_4 \vee x_5)$$

$$C8 : (\neg x_3 \vee x_5)$$

Conflict analysis:

(in blue, lits. of current decision level)

$$\frac{(x_3 \vee \neg x_4 \vee \neg x_1) \quad (x_3 \vee x_4 \vee \neg x_1 \vee x_5)}{(x_3 \vee \neg x_1 \vee x_5)}$$



# CDCL Example

Unsatisfiable formula solved with CDCL and the following order for decisions

$$x_1 \prec x_2 \prec x_3 \prec x_4 \prec x_5$$

$$C1 : (x_3 \vee x_4 \vee \neg x_1 \vee x_5)$$

$$C2 : (\neg x_3 \vee x_4 \vee x_5)$$

$$C3 : (x_3 \vee \neg x_4 \vee \neg x_1)$$

$$C4 : (x_1 \vee x_2)$$

$$C5 : (x_1 \vee \neg x_2)$$

$$C6 : (\neg x_1 \vee \neg x_5)$$

$$C7 : (\neg x_3 \vee \neg x_4 \vee x_5)$$

$$C8 : (\neg x_3 \vee x_5)$$

$$x_1^d \neg x_5^6 x_2^d x_3^d x_4^2 \quad \text{Fail } C7, \text{ Learn } C8, \text{ Backjump}$$

$$x_1^d \neg x_5^6 \neg x_3^8 x_4^1 \quad \text{Fail } C3$$

Conflict analysis:

(in blue, lits. of current decision level)

$$\frac{(x_3 \vee \neg x_4 \vee \neg x_1) \quad (x_3 \vee x_4 \vee \neg x_1 \vee x_5)}{(x_3 \vee \neg x_1 \vee x_5) \quad (\neg x_3 \vee x_5)} \\ (\neg x_1 \vee x_5)$$

# CDCL Example

Unsatisfiable formula solved with CDCL and the following order for decisions

$$x_1 \prec x_2 \prec x_3 \prec x_4 \prec x_5$$

**C1** :  $(x_3 \vee x_4 \vee \neg x_1 \vee x_5)$        $x_1^d \neg x_5^6 x_2^d x_3^d x_4^2$       Fail C7 , Learn C8, Backjump

**C2** :  $(\neg x_3 \vee x_4 \vee x_5)$        $x_1^d \neg x_5^6 \neg x_3^8 x_4^1$       Fail C3

**C3** :  $(x_3 \vee \neg x_4 \vee \neg x_1)$

**C4** :  $(x_1 \vee x_2)$

**C5** :  $(x_1 \vee \neg x_2)$

**C6** :  $(\neg x_1 \vee \neg x_5)$

**C7** :  $(\neg x_3 \vee \neg x_4 \vee x_5)$

**C8** :  $(\neg x_3 \vee x_5)$

Conflict analysis:

(in blue, lits. of current decision level)

$$\frac{(x_3 \vee \neg x_4 \vee \neg x_1) \quad (x_3 \vee x_4 \vee \neg x_1 \vee x_5)}{(x_3 \vee \neg x_1 \vee x_5) \quad (\neg x_3 \vee x_5)} \frac{(\neg x_1 \vee x_5) \quad (\neg x_1 \vee \neg x_5)}{(\neg x_1)}$$

# CDCL Example

Unsatisfiable formula solved with CDCL and the following order for decisions

$$x_1 \prec x_2 \prec x_3 \prec x_4 \prec x_5$$

C1 :  $(x_3 \vee x_4 \vee \neg x_1 \vee x_5)$       $x_1^d \neg x_5^6 x_2^d x_3^d x_4^2$      Fail C7 , Learn C8, Backjump

C2 :  $(\neg x_3 \vee x_4 \vee x_5)$       $x_1^d \neg x_5^6 \neg x_3^8 x_4^1$      Fail C3 , Learn C9, Backjump

C3 :  $(x_3 \vee \neg x_4 \vee \neg x_1)$       $\neg x_1^9$

C4 :  $(x_1 \vee x_2)$

C5 :  $(x_1 \vee \neg x_2)$

C6 :  $(\neg x_1 \vee \neg x_5)$

C7 :  $(\neg x_3 \vee \neg x_4 \vee x_5)$

C8 :  $(\neg x_3 \vee x_5)$

C9 :  $(\neg x_1)$

Conflict analysis:

(in blue, lits. of current decision level)

$$\frac{(x_3 \vee \neg x_4 \vee \neg x_1) \quad (x_3 \vee x_4 \vee \neg x_1 \vee x_5)}{(x_3 \vee \neg x_1 \vee x_5) \quad (\neg x_3 \vee x_5)} \\ \frac{(\neg x_1 \vee x_5) \quad (\neg x_1 \vee \neg x_5)}{(\neg x_1)}$$

# CDCL Example

Unsatisfiable formula solved with CDCL and the following order for decisions

$$x_1 \prec x_2 \prec x_3 \prec x_4 \prec x_5$$

$C1 : (x_3 \vee x_4 \vee \neg x_1 \vee x_5)$	$x_1^d \neg x_5^6 x_2^d x_3^d x_4^2$	Fail C7 , Learn C8, Backjump
$C2 : (\neg x_3 \vee x_4 \vee x_5)$	$x_1^d \neg x_5^6 \neg x_3^8 x_4^1$	Fail C3 , Learn C9, Backjump
$C3 : (x_3 \vee \neg x_4 \vee \neg x_1)$	$\neg x_1^9 x_2^4$	
$C4 : (x_1 \vee x_2)$		
$C5 : (x_1 \vee \neg x_2)$		
$C6 : (\neg x_1 \vee \neg x_5)$		
$C7 : (\neg x_3 \vee \neg x_4 \vee x_5)$		
$C8 : (\neg x_3 \vee x_5)$		
$C9 : (\neg x_1)$		

# CDCL Example

Unsatisfiable formula solved with CDCL and the following order for decisions

$$x_1 \prec x_2 \prec x_3 \prec x_4 \prec x_5$$

$C1 : (x_3 \vee x_4 \vee \neg x_1 \vee x_5)$	$x_1^d \neg x_5^6 x_2^d x_3^d x_4^2$	Fail C7 , Learn C8, Backjump
$C2 : (\neg x_3 \vee x_4 \vee x_5)$	$x_1^d \neg x_5^6 \neg x_3^8 x_4^1$	Fail C3 , Learn C9, Backjump
$C3 : (x_3 \vee \neg x_4 \vee \neg x_1)$	$\neg x_1^9 x_2^4$	Fail C5, UNSAT
$C4 : (x_1 \vee x_2)$		
$C5 : (x_1 \vee \neg x_2)$		
$C6 : (\neg x_1 \vee \neg x_5)$		
$C7 : (\neg x_3 \vee \neg x_4 \vee x_5)$		
$C8 : (\neg x_3 \vee x_5)$		
$C9 : (\neg x_1)$		

# Example of N-Queens and System demonstration

Place  $N$  queens in a  $N \times N$  chessboard such that they don't threaten each other (rows, columns and diagonals)

1	2	3	4
5	6	7	8
9	10	11	12
13	14	15	16

**Variable  $i$  encodes the presence of queen in the corresponding cell**

$c$  exactly one queen per row

$$1 \vee 2 \vee 3 \vee 4$$

$$\neg 1 \vee \neg 2$$

$$\neg 1 \vee \neg 3$$

$$\neg 1 \vee \neg 4$$

$$\neg 2 \vee \neg 3$$

$$\neg 2 \vee \neg 4$$

$$\neg 3 \vee \neg 4$$

...

$c$  exactly one queen per column

$$1 \vee 5 \vee 9 \vee 13$$

$$\neg 1 \vee \neg 5$$

$$\neg 1 \vee \neg 9$$

$$\neg 1 \vee \neg 13$$

$$\neg 5 \vee \neg 9$$

$$\neg 5 \vee \neg 13$$

$$\neg 9 \vee \neg 13$$

...

$c$  exactly one queen per diagonal

$$2 \vee 5$$

$$\neg 2 \vee \neg 5$$

...

- Move application to web
- Integrate it with our own declarative SAT modelling language (GOS/BUP)
- Extending rules (e.g. pure literal, restart, ...)
- Consider MAX-SAT algorithms
- ...

Thanks for your attention

Tool available at: <https://imae.udg.edu/Recerca/LAI/>